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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/840,704 Application Number April 23, 2001 Filing Date TRANSMITTAL First Named Inventor DEDHAR, SHOUKAT **FORM** Group Art Unit 1635 (to be used for all correspondence after initial filing) **Examiner Name** GIBBS, TERRA C. > 30 Attorney Docket Number KINE-001CON2 Total Number of Pages in This Submission ENCLOSURES (check all that apply) Fee Transmittal Form **Assignment Papers** After Allowance Communication (for an Application) to Group Fee Attached Drawing(s) - Figs. 1-6 Appeal Communication to Board M of Appeals and Interferences Preliminary Amendment-Certification of Sequence Listing / Licensing-related Papers Response to Detailed Action: Nucleotide Appeal Communication to Group and/or Amino Acid Sequence Disclosure (Appeal Notice, Brief, Reply Brief) Petition Copy of Detailed Action: Proprietary Information Petition to Convert to a Nucleotide and/or Amino Acid Sequence Provisional Application Disclosure Status Letter Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Other Enclosure(s) (please Address **Extension of Time Request** identify below): Terminal Disclaimer **Express Abandonment Request** Paper copy of Sequence Listing Request for Refund Postcard 2. Information Disclosure Statement CD, Number of CD(s) 1 Certified Copy of Priority **Documents** Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm PAMELA J. SHERWOOD, Reg. No. 36,677 Individual Name Signature Date October 30, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail

in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 30, 2002.

Typed or printed name
Susan M. Alessi
Signature
Date October 30, 2002



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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/840,704 04/23/2001 Shoukat Dedhar KINE001CON2 5167 24353 09/30/2002 **BOZICEVIC, FIELD & FRANCIS LLP EXAMINER** 200 MIDDLEFIELD RD GIBBS, TERRA C SUITE 200 MENLO PARK, CA 94025 ART UNIT PAPER NUMBER 1635

DATE MAILED: 09/30/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

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Bozicevic, Field & Francis

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DETAILED ACTION

Nucleotide and/or Amino Acid Sequence Disclosure

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 1.821 through 1.825 for the reason(s) set forth below. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 Fed. Reg. 18230, May 1, 1990. It is noted that the application fails to comply with 37 CFR 1.821(a) and (d).

\$1.821 (a) states, "Nucleotide and /or amino acid sequences as used in 37 CFR \$1.821 through 1.825 are interpreted to mean an unbranched sequence of *four or more* amino acids or an unbranched sequence of *ten or more* nucleotides. Branched sequences are specifically excluded from this definition. Sequences with fewer than four specifically defined nucleotides or amino acids are specifically excluded from this section. "Specifically defined" means those amino acids other than "Xaa" and those nucleotide bases other than "n" defined in accordance with the World Intellectual Property Organization (WIPO) Handbook on Industrial Property Information and Documentation, Standard ST.25: Standard for the Presentation of Nucleotide and Amino Acid Sequence Listings in Patent Applications (1998), including Tables 1 through 6 in Appendix 2, herein incorporated by reference. (Hereinafter "WIPO Standard ST.25 (1998)). This incorporation by reference was approved by the Director of the Federal Register in accordance with 5 U.S.C. 552(a) and 1 CFR part 51. Copies of WIPO Standard ST.25 (1998) may be obtained from the World Intellectual Property Organization; 34 chemin des Colombettes;

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1211 Geneva 20 Switzerland. Copies of ST.25 may be inspected at the Patent Search Room; Crystal Plaza 3, Lobby Level; 2021 South Clark Place; Arlington, VA 22202. Copies may also be inspected at the Office of the Federal Register, 800 North Capitol Street, NW, Suite 700, Washington, DC. Nucleotides and amino acids are further defined as follows:

- (1) Nucleotides: Nucleotides are intended to embrace only those nucleotides that can be represented using the symbols set forth in WIPO Standard ST.25 (1998), Appendix 2, Table 1. Modifications, e.g., methylated bases, may be described as set forth in WIPO Standard ST.25 (1998), Appendix 2, Table 2, but shall not be shown explicitly in the nucleotide sequence.
- (2) Amino acids: Amino acids are those L-amino acids commonly found in naturally occurring proteins and are listed in WIPO Standard ST.25 (1998), Appendix 2, Table 3. Those amino acid sequences containing D-amino acids are not intended to be embraced by this definition. Any amino acid sequence that contains post-translationally modified amino acids may be described as the amino acid sequence that is initially translated using the symbols shown in WIPO Standard ST.25 (1998), Appendix 2, Table 3 with the modified positions; e.g., hydroxylations or glycosylations, being described as set forth in WIPO Standard ST.25 (1998), Appendix 2, Table 4, but these modifications shall not be shown explicitly in the amino acid sequence. Any peptide or protein that can be expressed as a sequence using the symbols in WIPO Standard ST.25 (1998), Appendix 2, Table 3 in conjunction with a description in the Feature section to describe, for example, modified linkages, cross links and end caps, non-peptidyl bonds, etc., is embraced by this definition.

37 CFR 1.821(d) states, "Where the description or claims of a patent application discuss a sequence that is set forth in the "Sequence Listing" in accordance with paragraph (c) of this

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section, reference must be made to the sequence by use of the sequence identifier, preceded by

"SEQ ID NO:" in the text description or claims, even if the sequence is also embedded in the

text of the description or claims of the patent application". For example, at page 28 of the

instant specification, line 17 contains, "AAUAAA" and line 22 contains, "GXGXXG".

Additionally, Figures 1A, 1B and 1C should have accompanying SEQ ID numbers. The above

are examples and are not intended to indicate that the examiner has made an exhaustive review

of the application. It is the duty of the applicant to fully comply with sequence rules of 37 CFR

§ 1.821 (a-d).

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Terra C. Gibbs whose telephone number is (703) 306-3221. The

examiner can normally be reached on M-F 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, John L. LeGuyader can be reached on (703) 308-0447. The fax phone numbers for

the organization where this application or proceeding is assigned are (703) 746-8693 for regular

communications and (703) 872-9307 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is (703) 308-0196.

tcs

September 26, 2002

SEAN MCGARRY PRIMARY EXAMINER

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